



TITLE:

THE CONFIGURATIONS OF THE M-CURVES OF DEGREE (4,4)  
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Dedicated to Professor Haruo Suzuki on his 60th birthday

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CITATION:

MATSUOKA, SACHIKO. THE CONFIGURATIONS OF THE M-CURVES OF DEGREE (4,4) IN  $\mathbb{RP}^1 \times \mathbb{RP}^1$  AND PERIODS OF REAL K3 SURFACES : Dedicated to Professor Haruo Suzuki on his 60th birthday. 数理解析研究所講究録 1990, 725: 97-116

ISSUE DATE:

1990-05

URL:

<http://hdl.handle.net/2433/101882>

RIGHT:

THE CONFIGURATIONS OF THE M-CURVES OF DEGREE (4,4)  
IN  $\mathbf{RP}^1 \times \mathbf{RP}^1$  AND PERIODS OF REAL K3 SURFACES

*Dedicated to Professor Haruo Suzuki on his 60th birthday*

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**Abstract.** For M-curves of degree (4,4) in  $\mathbf{RP}^1 \times \mathbf{RP}^1$  whose components are all contractible, it is known that three configuration types are possible. We prove that all these configuration types are realized by some M-curves of degree (4,4) by means of the existence of locally universal families of real K3 surfaces and the local surjectivity of period mappings defined over those families.

**0. Introduction.**

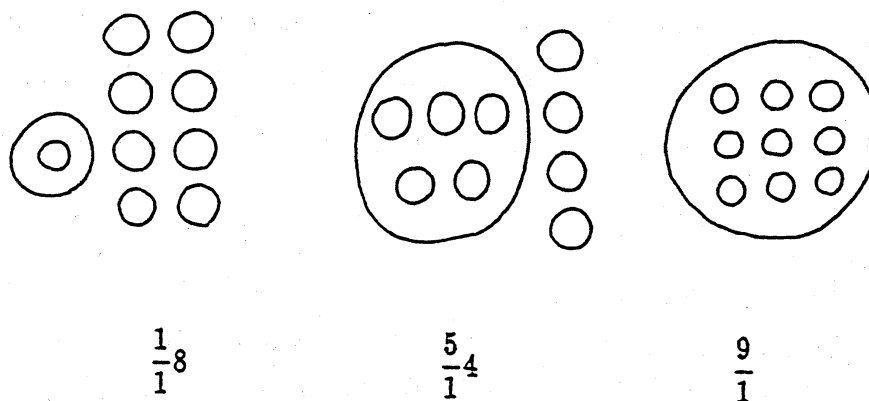
We consider the zero set  $\mathbf{R}A$  of a real homogeneous polynomial  $F (\neq 0)$  of degree  $(d, r)$  in  $\mathbf{RP}^1 \times \mathbf{RP}^1$ , where  $d$  and  $r$  are integers  $(\geq 1)$ . We assume that the zero set  $A$  of  $F$  in  $\mathbf{CP}^1 \times \mathbf{CP}^1$  is nonsingular. (In what follows, we write  $P^1 \times P^1$  for  $\mathbf{CP}^1 \times \mathbf{CP}^1$ .) Then  $A$  is a connected complex 1-dimensional manifold. But  $\mathbf{R}A$  is a possibly disconnected real 1-dimensional manifold (a disjoint union of finitely many copies of  $S^1$ ) or the empty set. It is known that the number of the connected components of  $\mathbf{R}A$  does not exceed  $(d-1)(r-1)+1$  (see [5]). We remark that the number  $(d-1)(r-1)$  is the genus of the nonsingular curve  $A$ . We say  $\mathbf{R}A$  is an *M-curve* of degree  $(d, r)$  if it has precisely  $(d-1)(r-1)+1$  connected components.

In this paper we make clear the “configurations” of the M-curves of degree (4,4) in  $\mathbf{RP}^1 \times \mathbf{RP}^1$ , where we consider only the curves whose components (embedded  $S^1$ ) are all contractible in  $\mathbf{RP}^1 \times \mathbf{RP}^1$ . We define the meaning of the “configurations” as follows. In our cases, each component of  $\mathbf{R}A$ , which is called an *oval*, divides  $\mathbf{RP}^1 \times \mathbf{RP}^1$  into two connected components. One of those is homeomorphic to an open disk and called the *interior* of the oval. The other is called the *exterior* of that. As a consequence of [5], every M-curve of degree (4,4) lies in one of the following three cases.

(1) Each of certain 9 ovals lies in the exteriors of the others, and the interior of one of those contains one oval. (Notation:  $\frac{1}{1}8$ )

(2) Each of certain 5 ovals lies in the exteriors of the others, and the interior of one of those contains 5 ovals. Each of the latter 5 ovals lies in the exteriors of the others. (Notation:  $\frac{5}{1}4$ )

(3) An oval contains 9 ovals in its interior and each of the 9 ovals lies in the exteriors of the others. (Notation:  $\frac{9}{1}$ )



We call the above three cases the *configurations* of types  $\frac{1}{1}8$ ,  $\frac{5}{1}4$ , and  $\frac{9}{1}$  respectively. We can easily construct curves of degree (4,4) of configuration type  $\frac{1}{1}8$  by the “Harnack’s method”, which is well known in the studies of Hilbert’s 16th problem (see [2]). Here we omit the statement of this method. In this paper we prove that there exist curves of degree (4,4) of configuration types  $\frac{5}{1}4$  and  $\frac{9}{1}$  (Corollary 8 in §4). For this, it is sufficient to show the existence of *2-sheeted coverings* (for the definition, see [11])  $Y$  of  $P^1 \times P^1$  branched along nonsingular real curves of degree (4,4) whose *real parts* (see below) are homeomorphic to  $\Sigma_6 \coprod 5S^2$  and  $\Sigma_2 \coprod 9S^2$  respectively (see [5, §3]), where  $\Sigma_g$  denotes a sphere with  $g$  handles and  $kS^2$  denotes the disjoint union of  $k$  copies of  $S^2$ . Notice that the complex conjugation of  $P^1 \times P^1$  is lifted into two antiholomorphic involutions  $T^+$  and  $T^-$  on  $Y$ . In the above statement, we call fixed point sets of these involutions *real parts* of  $Y$ .

It is well known that every 2-sheeted covering  $Y$  of  $P^1 \times P^1$  branched along a nonsingular curve of degree (4,4) is a K3 surface. The topological types of real parts of real

projective K3 surfaces are investigated in Nikulin [8]. Let  $h$  be the homology class of the preimage in  $Y$  of a hyperplane section of  $P^1 \times P^1 (\subset P^3)$ . Then  $h$  is *primitive* (for the definition, see [8]) in  $H_2(Y, \mathbb{Z})$  and we have  $h^2 = 4$ . Hence the triple  $(H_2(Y), T_*^\pm, h)$  is a *polarized integral involution* (see [8]) with invariants  $\delta_L = 0, l_{(+)} = 3, l_{(-)} = 19, n = 4, t_{(+)} = 1$  and  $t_{(-)}$  (for the notations, see [8]). Since we assume that  $\mathbf{R}A$  is an M-curve whose components are all contractible in  $\mathbf{R}P^1 \times \mathbf{R}P^1$ , we moreover have  $a = 0$  (see also [8]) for either  $T^+$  or  $T^-$  because of a consequence of [5, §3]. Hence, by [8, Theorem 3.10.6], the real part of  $Y$  with respect to  $T^+$  or  $T^-$  is homeomorphic to  $\Sigma_g \amalg kS^2$ , where  $g = (21 - t_{(-)})/2$  and  $k = (1 + t_{(-)})/2$ . Furthermore, by [8, Theorem 3.4.3], a polarized integral involution with the above invariants exists if and only if  $t_{(-)} = 1, 9$  or  $17$ . By [8, Theorem 3.10.1], the isomorphism classes of polarized integral involutions with the above invariants are in bijective correspondence with the *coarse projective equivalence classes* (see [8, §3, 10°]) of real projective K3 surfaces for which homology classes  $h$  of hyperplane sections (or those preimages) are primitive and  $h^2 = 4$ . Therefore, we see that there exist real projective K3 surfaces with  $h^2 = 4$  ( $h$ : primitive) whose real parts are homeomorphic to  $\Sigma_6 \amalg 5S^2$  or  $\Sigma_2 \amalg 9S^2$ . But these K3 surfaces are not necessarily 2-sheeted coverings of  $P^1 \times P^1$  branched along nonsingular real curves of degree (4,4). We must make a closer investigation of [8, Theorem 3.10.1].

We first prepare a sufficient condition for K3 surfaces (not necessarily algebraic) with antiholomorphic involutions, which are called *real K3 surfaces*, to be 2-sheeted coverings of  $P^1 \times P^1$  branched along nonsingular real curves of degree (4,4) (Lemma 2 in §2). In [3] it is proved that for every real K3 surface, there exists an “equivariant” locally universal Kähler family of its complex structures (Lemma (Kharlamov) in §1). For the real projective K3 surfaces  $(X, t)$  with  $h^2 = 4$  ( $h$ : primitive) whose real parts are homeomorphic to  $\Sigma_6 \amalg 5S^2$  or  $\Sigma_2 \amalg 9S^2$  stated above,  $L_\varphi := \text{Ker}(1 + t^*)$  are isomorphic to  $U \oplus U \oplus (-E_8)$  and  $U \oplus U$  respectively (see [8]), where  $U$  and  $E_8$  are even unimodular lattices with  $\text{rank} U = 2$ ,  $\text{sign} U = 0$ , and  $\text{rank} E_8 = \text{sign} E_8 = 8$ . We show that if for a real K3 surface  $(X, t)$ ,  $L_\varphi$  has  $U \oplus U$  as its sublattice, then there exist real K3 surfaces which satisfy the conditions of Lemma 2 arbitrarily closely to the surface  $(X, t)$  in the equivariant family stated above (the proof of Theorem 6 in §4). Before this, we prepare Lemma 3 and its Corollary 4, which

are finer versions of Tjurina's lemma concerning integer vector sequences ([10, Chap.IX, §5]).

The author would like to thank Professors I. Nakamura, M.-H. Saito and Y. Umezū for their kind and great help to prove Lemma 1, Professor G. Ishikawa for indicating a gap in the original proof of Theorem 6, and Professors H. Suzuki and S. Izumiya for their constant encouragement.

### 1. Real K3 surfaces and equivariant families of their complex structures.

We say a compact connected Kähler surface  $X$  is a *K3 surface* if the first Betti number of  $X$  vanishes and there exists a nowhere vanishing holomorphic 2-form  $\omega_X$  on  $X$ . The following are known (cf.[10, Chap.IX]).

- (1)  $H^2(X, \mathbf{Z})$  is free of rank 22.
- (2) The intersection form  $H^2(X, \mathbf{Z}) \times H^2(X, \mathbf{Z}) \rightarrow \mathbf{Z}$  is isomorphic to  $U \oplus U \oplus U \oplus (-E_8) \oplus (-E_8)$ .
- (3)  $\omega_X \wedge \omega_X = 0$ ,  $\omega_X \wedge \bar{\omega}_X > 0$ ,  $\dim_{\mathbf{C}} H^0(X, \Omega^2) = 1$ . We set

$$\text{Pic}X = (\omega_X)^\perp \cap H^2(X, \mathbf{Z}) = H^{1,1}(X) \cap H^2(X, \mathbf{Z}).$$

Since  $h^1(X, \mathcal{O}_X) = \frac{1}{2}b_1(X) = 0$ , we can regard  $\text{Pic}X$  as the group of isomorphism classes of complex line bundles on  $X$ . We denote by  $Q(, )$  the intersection form of  $X$ . We set  $P(X, \mathbf{C}) = \mathbf{P}(H^2(X, \mathbf{C}))$  and  $K_{20} = \{\lambda \in P(X, \mathbf{C}) | Q(\lambda, \lambda) = 0\}$ . Then we see that  $H^{2,0}(X) = [\omega_X]$  is contained in  $K_{20}$ .

(4) There exists an effectively parametrized and locally universal family  $(V, M, \pi)$  of complex structures of  $X$ , where  $M$  is complex 20-dimensional. Here, by a family  $(V, M, \pi)$  of complex structures of  $X$ , we mean a  $C^\infty$ -fibre bundle  $\pi : V \rightarrow M$  with the fibre  $X$ , where  $V$  and  $M$  are connected complex manifolds,  $\pi$  is a holomorphic map onto  $M$ .

(5) For every family  $(V, M, \pi)$  of complex structures of a K3 surface  $X = \pi^{-1}(m)$ , there exists a contractible neighborhood  $U$  such that for any  $\alpha \in U$ ,  $V(\alpha) = \pi^{-1}(\alpha)$  are K3 surfaces and  $(\pi^{-1}(U), U, \pi)$  is a  $C^\infty$ -trivial bundle. Let  $i_\alpha : V(\alpha) \rightarrow \pi^{-1}(U)$  be the

inclusion map. Then  $i_\alpha^* : H^2(\pi^{-1}(U), \mathbb{Z}) \rightarrow H^2(V(\alpha), \mathbb{Z})$  is an isomorphism. We define  $\tau : U \rightarrow P(X, \mathbb{C})$  by  $\tau(\alpha) = i_m^* \circ i_\alpha^{*-1}(H^{2,0}(V(\alpha)))$ . This is called the *period mapping*. From [10, Chap.IX, Theorem 2], if  $(V, M, \pi)$  is effectively parametrized, then  $\tau$  is a holomorphic embedding on a neighbourhood  $U'$  of  $m$  in  $U$ .

Furthermore, Kharlamov [3] shows the following.

**LEMMA (KHARLAMOV [3]).** *Let  $(X, t)$  be a real K3 surface, namely,  $X$  is a K3 surface and  $t$  is an antiholomorphic involution on it. Then there exist a locally universal family  $(V, M, \pi)$  of complex structures of  $X$  and antiholomorphic involutions  $t_V$  on  $V$  and  $t_M$  on  $M$  which satisfy the following conditions.*

- (i) *Each fibre  $V(\alpha)$  is a K3 surface and  $V(m) = X$ .*
- (ii)  *$M$  is contractible, and  $(V, M, \pi)$  is a  $C^\infty$ -trivial bundle.*
- (iii)  *$\tau$  (see (5) above) is a holomorphic embedding on  $M$  and  $\tau(M)$  is a neighborhood of  $\tau(m)$  in  $K_{20}$ .*
- (iv)  *$t_V|_X = t$ ,  $\pi \circ t_V = t_M \circ \pi$ ,  $\tau \circ t_M = \overline{t^* \circ \tau}$ , where  $\overline{\phantom{x}}$  is the natural complex conjugation on  $P(X, \mathbb{C})$ .*

**Remark.** We can restrict  $t_V$  on  $V(\alpha)$  for any  $\alpha \in \text{Fix } t_M$ . We set  $t_\alpha = t_V|_{V(\alpha)}$ . Then  $(V(\alpha), t_\alpha)$  are real K3 surfaces.

## 2. A sufficient condition for real K3 surfaces to be 2-sheeted coverings of $\mathbb{P}^1 \times \mathbb{P}^1$ branched along real curves of degree (4,4).

We prepare the following lemmas in order to catch 2-sheeted coverings (in the sense of [11, §1]) of  $\mathbb{P}^1 \times \mathbb{P}^1$  branched along (real) curves in the family of (real) K3 surfaces given in §1.

**LEMMA 1.** *Let  $X$  be a K3 surface with  $\text{rank Pic } X = 2$ . If there exist primitive elements  $c_1$  and  $c_2$  in  $\text{Pic } X$  such that  $c_1^2 = c_2^2 = 0$  and  $c_1 \cdot c_2 = 2$ , then  $X$  can be a 2-sheeted*

branched covering of  $P^1 \times P^1$ , and the branch locus is a nonsingular curve of degree  $(4,4)$ .

PROOF: We choose an element  $b$  such that  $b$  and  $c_1$  generate the free  $\mathbf{Z}$ -module  $\text{Pic}X$ . Then  $c_2 = mc_1 + nb$  for some integers  $m$  and  $n$ . Since  $2 = c_1 \cdot c_2 = n(c_1 \cdot b)$ , we have  $n = \pm 1$  or  $\pm 2$ . We show that  $D^2 \geq 0$  for any irreducible curve  $D$  on the surface  $X$ . In case  $n = \pm 1$ , we have  $\text{Pic}X = \mathbf{Z}(c_1, c_2)$ . Let  $D$  be an irreducible curve on  $X$  and  $[D]$  be the linearly equivalence class of the divisor  $D$ . Then  $[D] = kc_1 + lc_2$  for some integers  $k$  and  $l$ , and we have  $D^2 = 4kl$ . Since  $D^2 \geq -2$ , we have  $D^2 \geq 0$ . In case  $n = \pm 2$ , since  $c_2$  is primitive, we see that  $m$  is odd. Since  $(2b)^2 = (\pm c_2 \mp mc_1)^2 = -4m$ , we have  $b^2 = -m$ . Let  $D$  be an irreducible curve on  $X$ . Then we have  $[D] = kc_1 + lb$  for some integers  $k$  and  $l$ . Since  $D^2 = k^2 c_1^2 + 2klc_1 \cdot b + l^2 b^2 = \pm 2kl - l^2 m$  and  $D^2$  is even, we see that  $l$  is even. Hence  $[D]$  is contained in  $\mathbf{Z}(c_1, c_2)$ . Therefore we see that  $D^2 \geq 0$  as in the case  $n = \pm 1$ .

Now let  $F_i$  ( $i = 1, 2$ ) be a complex line bundle whose first Chern class is  $c_i$ . By the Riemann-Roch theorem,  $h^0(F_i) + h^0(-F_i) \geq 2$ . Since  $F_i$  is not trivial, we may assume that  $h^0(-F_i) = 0$  and  $h^0(F_i) \geq 2$  replacing  $c_i$  by  $-c_i$  if necessary. We will verify that  $c_1 \cdot c_2 = 2$  later on. Let  $C_i$  be the divisor of a global holomorphic section of  $F_i$  on  $X$ . We show that the complete linear system  $|C_i|$  has no fixed components. If  $\Gamma$  is the fixed part of  $|C_i|$ , and  $D$  is an irreducible component of  $\Gamma$ , then we choose an effective divisor  $E$  such that  $\Gamma + E$  is a member of  $|C_i|$ . We may assume that all irreducible components of  $E$  are distinct from  $D$ . In our cases, since  $D^2 \geq 0$ , we have  $\dim|D| \geq 1$  by the Riemann-Roch theorem. Hence  $D$  is movable. This contradicts the assumption that  $\Gamma$  is the fixed part. Hence  $|C_i|$  has no fixed components. Therefore, by [6, Proposition 1 ii)], each element of  $|C_1|$  can be written as  $E_1 + \cdots + E_k$  with  $E_i \in |C'_1|$ ,  $C'_1$  being nonsingular elliptic. (For  $|C_2|$ , we have the same results.) Hence we have  $C_1 \sim kC'_1$  (linearly equivalent). Since  $[C'_1] \in \mathbf{Z}(c_1, c_2)$ , we have  $[C'_1] = sc_1 + tc_2$  for some integers  $s$  and  $t$ . Then, since  $c_1 = k(sc_1 + tc_2)$ , we see that  $k = 1$ . Hence we have  $C_1 \sim C'_1$ . Thus we may consider  $C_1$  and  $C_2$  to be nonsingular elliptic curves. Hence we have  $C_1 \cdot C_2 = 2$ . We set  $C = C_1 + C_2$ . The complete linear system  $|C|$  also has no fixed components. Hence, by [6, Proposition 1 i)],  $|C|$  has no base points and contains an irreducible nonsingular curve  $C'$ . Since  $C'^2 = 4$  ( $> 0$ ), the surface  $X$  is algebraic by [4, Theorem 3.3]. Thus we see that there exist elliptic curves  $C_1$  and  $C_2$  on

the algebraic K3 surface  $X$  such that  $C_1 \cdot C_2 = 2$ . Then the system  $|C_i|$  ( $i = 1, 2$ ) defines a morphism  $\Phi_{|C_i|} : X \rightarrow P^1$ . We can define a holomorphic mapping  $\Phi : X \rightarrow P^1 \times P^1$  by the formula  $\Phi(x) = (\Phi_{|C_1|}(x), \Phi_{|C_2|}(x))$  for any  $x \in X$ . Since  $\Phi_{|C_1|}$  and  $\Phi_{|C_2|}$  are surjective and  $C_1 \cdot C_2 = 2$ , we see that  $\Phi$  is surjective. Let  $S : P^1 \times P^1 \rightarrow P^3$  be the Segre embedding. This embedding gives a biholomorphic mapping onto a nonsingular quadric  $Q$  in  $P^3$ . Then the composition  $S \circ \Phi : X \rightarrow P^3$  is nothing but a morphism  $\Phi_{|C|}$  defined by the system  $|C|$ . From the well known formula  $C^2 = \deg \Phi_{|C|} \cdot \deg Q$ , we see that the morphism  $\Phi_{|C|}$  is of degree 2. Moreover, for any irreducible curve  $D$ , the image  $\Phi_{|C|}(D)$  is an irreducible curve. In fact, if  $\Phi_{|C|}(D)$  is a point  $P$ , then  $\Phi_{|C|}^{-1}(H) \cdot D = 0$  for a hyperplane section  $H$  of  $Q$  which does not meet the point  $P$ . Since  $\Phi_{|C|}^{-1}(H)^2 = C^2 = 4$ , we have  $D^2 < 0$  by the Hodge index theorem. But  $D^2 \geq 0$  on our surface  $X$ . This is a contradiction. We also see that for any point  $P$  in  $Q$ , the preimage  $\Phi_{|C|}^{-1}(P)$  consists of finitely many points. Let  $B$  be the ramification divisor (see, for example, [1, p.668]) of the finite surjective mapping  $\Phi_{|C|} : X \rightarrow Q$ . We use the same notation  $B$  for the support of the divisor  $B$ . We set  $A = \Phi_{|C|}(B)$ . Then  $A$  also defines a divisor. By the definition of the ramification divisor,  $\Phi_{|C|}$  is locally biholomorphic on  $X \setminus B$ , and in our case, all the points in  $B$  are branch points in the sense of [11, Definition 1.3]. Let  $K_X$  (resp.  $K_Q$ ) be the canonical divisor of  $X$  (resp.  $Q$ ). Then we have (see, for example, [7, Lemma (6.20)])

$$K_X \sim \Phi_{|C|}^*(K_Q) + B.$$

Since we know that  $K_X \sim 0$  and  $K_Q = (-2)(pt \times P^1 + P^1 \times pt)$  identifying  $Q$  with  $P^1 \times P^1$  via the Segre embedding  $S$ , we have

$$B \sim 2\Phi^*(pt \times P^1 + P^1 \times pt).$$

Hence, in particular,  $B \neq \emptyset$ . Recall that the morphism  $\Phi_{|C|}$  is of degree 2. Thus we obtain a 2-sheeted branched covering  $\Phi : X \rightarrow P^1 \times P^1$  with branch locus  $A$  in the sense of [11, §1]. Hence the branch locus  $A$  is nonsingular. Moreover, from the proof of [11, Theorem 1.2], we have  $[B] = \Phi^*F$  for a line bundle  $F$  over  $P^1 \times P^1$  with  $F^{\otimes 2} = [A]$ . Since  $\text{Pic}(P^1 \times P^1) = \mathbb{Z}([pt \times P^1], [P^1 \times pt])$ , we have  $F = k[pt \times P^1] + l[P^1 \times pt]$  for some integers  $k$  and  $l$ . Since  $B \sim 2\Phi^*(pt \times P^1 + P^1 \times pt)$ , we have  $k = l = 2$  by considering intersection



numbers. Hence we have

$$A \sim 4(pt \times P^1 + P^1 \times pt).$$

Thus  $A$  is a nonsingular curve of degree  $(4,4)$ . Q. E. D.

**Remark.** In the above lemma, for every irreducible curve  $D$  on the algebraic K3 surface  $X$ , we see that  $D^2$  is divisible by 4. Hence, if  $D^2 > 0$ , then  $D^2 \geq 4$ , namely  $p_a(D) \geq 3$ . Moreover, for the irreducible curve  $C'$  ( $\sim C$ ), we know that  $p_a(C') = 3$ . Hence the surface  $X$  belongs to the class  $\pi = 3$  (see [10, Chap.VIII, p.188] or [9, §1, p.46]). Hence, by [10, Chap.VIII, Theorem 2],  $\Phi_{|C|}$  is a birational morphism onto a quartic surface in  $P^3$ , or a morphism of degree 2 onto a quadric in  $P^3$ . We see that our surface  $X$  lies in the latter case.

**LEMMA 2.** *Let  $(X, t)$  be a real K3 surface such that  $X$  satisfies the conditions of Lemma 1. If moreover,  $c_1$  and  $c_2$  are contained in  $\text{Ker}(1+t^*)$ , then there exists a holomorphic mapping  $\Phi$  which makes  $X$  a 2-sheeted branched covering of  $P^1 \times P^1$  and satisfies  $\text{conj} \circ \Phi = \Phi \circ t$ . Hence the branch locus is a nonsingular curve defined by a real homogeneous polynomial of degree  $(4,4)$ .*

**PROOF:** In the proof of Lemma 1, we define  $\Phi = (\Phi_{|C_1|}, \Phi_{|C_2|})$ . Let  $s_1$  and  $s_2$  form a basis for the space  $H^0(X, O(C_1))$ . Let  $\xi_0$  and  $\xi_1$  be holomorphic functions on  $X$  such that  $\xi_1(x)s_1(x) = \xi_0(x)s_2(x)$  for any  $x \in X$ . Then  $\Phi_{|C_1|}$  is defined to be  $[\xi_0 : \xi_1]$ . We show that  $\text{conj} \circ \Phi_{|C_1|} = \Phi_{|C_1|} \circ t$  if we choose an appropriate basis for  $H^0(X, O(C_1))$ .

We define the line bundle  $F_1$  to be  $[C_1]$ . By the assumption, we see the first Chern class  $c_1(F_1)$  is contained in  $\text{Ker}(1+t^*)$ . Hence we have  $c_1(F_1) = c_1(t^*\overline{F_1})$ , where  $\overline{F_1}$  is the conjugate bundle of  $F_1$ . Since  $H^1(X, O_X) = 0$ , the line bundle  $F_1$  and  $t^*\overline{F_1}$  are isomorphic. We denote by  $E_1$  and  $pr_1$  the total space and the projection of  $F_1$ . Let  $\{U_\lambda\}_{\lambda \in \Lambda}$  be an open covering of  $X$ ,  $\varphi_\lambda : pr_1^{-1}(U_\lambda) \rightarrow U_\lambda \times \mathbb{C}$  be trivializations, and  $g_{\lambda\mu} : U_\lambda \cap U_\mu \rightarrow \mathbb{C}^*$  be transition functions. We may assume that there exists an involution  $\sigma$  on  $\Lambda$  such that  $U_{\sigma(\lambda)} = t(U_\lambda)$ . Then the transition functions of the line bundle  $t^*\overline{F_1}$  are  $\overline{g_{\sigma(\lambda)\sigma(\mu)}} \circ t : U_\lambda \cap U_\mu \rightarrow \mathbb{C}^*$ . Since  $F_1$  and  $t^*\overline{F_1}$  are isomorphic, there exists a collection of

functions  $f_\lambda (\in O^*(U_\lambda))$  such that

$$(1) \quad g_{\lambda\mu}(x) = \frac{f_\lambda(x)}{f_\mu(x)} \overline{g_{\sigma(\lambda)\sigma(\mu)}(t(x))} \quad \text{for any } x (\in U_\lambda \cap U_\mu),$$

where we may consider that

$$(2) \quad f_{\sigma(\lambda)} = \overline{f_\lambda \circ t}^{-1}.$$

Then we can define an antiholomorphic involution  $T_1$  on  $E_1$  such that  $t \circ pr_1 = pr_1 \circ T_1$  and the restrictions  $(T_1)_x : pr_1^{-1}(x) \rightarrow pr_1^{-1}(t(x))$  are antilinear as follows. (It turns out that the line bundle  $F_1$  is a "real vector bundle".) We define  $T_1$  on  $pr_1^{-1}(U_\lambda)$  by the following formula.

$$\varphi_{\sigma(\lambda)} \circ T_1 \circ \varphi_\lambda^{-1}(x, c) = (t(x), \overline{f_\lambda(x)^{-1}c})$$

By the equality (1),  $T_1$  is well defined over  $E_1$ , and by (2), we see that  $T_1$  is an involution. We now define an antilinear involution  $\theta_1 : H^0(X, O(F_1)) \rightarrow H^0(X, O(F_1))$  by  $\theta_1(s) = T_1 \circ s \circ t$ , and choose  $s_1$  and  $s_2$  stated above in  $\text{Fix } \theta_1$ . Then we see that  $\Phi_{|C_1|} = [\xi_0 \circ t : \xi_1 \circ t]$ . Hence  $\text{conj} \circ \Phi_{|C_1|} = \Phi_{|C_1|} \circ t$ . We have the same results for  $|C_2|$ . Thus we have  $\text{conj} \circ \Phi = \Phi \circ t$ . It follows that  $\text{conj}(A) = A$ , where  $A$  is the branch locus. Q. E. D.

### 3. A lemma concerning integer vector sequences.

LEMMA 3. For any integer sequence  $\alpha'_1(n)$  with  $\alpha'_1(n) \rightarrow \infty$ , any positive real number  $\alpha$ , any real numbers  $x_3$  and  $x_4$ , there exist a subsequence  $\alpha_1(n)$  of  $\alpha'_1(n)$  and an integer vector sequence  $(\beta_1(n), \beta_2(n), \beta_3(n), \beta_4(n))$  which satisfy the following five conditions.

- (1)  $\beta_1\beta_2 + \beta_3\beta_4 = 1$
- (2)  $\lim_{n \rightarrow \infty} \frac{\beta_3}{\beta_1} = x_3$
- (3)  $\lim_{n \rightarrow \infty} \frac{\beta_4}{\beta_1} = x_4$
- (4)  $\beta_1$  and  $\beta_4$  are odd.

$$(5) \lim_{n \rightarrow \infty} \frac{\beta_1}{\alpha_1} = \alpha$$

PROOF: We first prove in the case  $x_4$  is a rational number. The rational number  $x_4$  can be expanded into a finite simple continued fraction as follows.

$$x_4 = a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{\ddots + \frac{1}{a_{r-1} + \frac{1}{a_r}}}}}$$

In the above,  $a_1$  is an integer, and  $a_2, \dots, a_r$  are positive integers. We define  $(u_0, v_0), \dots, (u_r, v_r)$  inductively as follows.

$$(u_0, v_0) = (-1, -1)$$

$$(u_j, v_j) = \begin{cases} (v_{j-1}, u_{j-1}) & \text{if } a_j \text{ is even or } (u_{j-1}, v_{j-1}) = (-1, 1) \\ (v_{j-1}, -u_{j-1}) & \text{otherwise} \end{cases}$$

In the case  $r \geq 2$ , we define  $b_i$  ( $2 \leq i \leq r$ ) as follows.

$$b_i = a_i + \frac{1}{a_{i+1} + \frac{1}{a_{i+2} + \frac{1}{\ddots + \frac{1}{a_{r-1} + \frac{1}{a_r}}}}}$$

Remark that every  $b_i$  is positive. We set  $\alpha' = \frac{\alpha}{b_2 \times \dots \times b_r}$ . In the case  $r = 1$ , we set  $\alpha' = \alpha$ . Now we choose and fix a subsequence  $\alpha_1(n)$  of  $\alpha'_1(n)$  such that  $\frac{\alpha_1(n)}{n} \rightarrow \infty$ . Let  $\tilde{\beta}_1(n)$  be the closest integer to  $\alpha_1(n)\alpha'$ . Since  $\alpha_1(n) \rightarrow \infty$ , we have  $\lim_{n \rightarrow \infty} \frac{\tilde{\beta}_1(n)}{\alpha_1(n)} = \alpha'$  and  $\frac{\tilde{\beta}_1(n)}{2n} = \frac{\tilde{\beta}_1(n)}{\alpha_1(n)} \frac{\alpha_1(n)}{2n} \rightarrow \infty$ . We set  $\beta_1(n) = \left\lfloor \frac{\tilde{\beta}_1(n)}{2n} \right\rfloor$  or  $\left\lfloor \frac{\tilde{\beta}_1(n)}{2n} \right\rfloor + 1$ , where we take  $\beta_1(n)$  to be odd (resp. even) if  $v_r = -1$  (resp. 1). We have  $\beta_1(n) \rightarrow \infty$ . We set  $x'_3 = (-1)^r x_3$ . In the case  $(u_r, v_r) = (1, -1)$ , let  $\beta_3$  be the closest integer to  $\beta_1 x'_3$  that is relatively prime to  $\beta_1$ . Since  $\beta_1$  is odd,  $\beta_1$  and  $2\beta_3$  are relatively prime, and hence, there exist integers  $u$  and  $v$  such that  $u\beta_1 + 2v\beta_3 = 1$  and  $|u| < |2\beta_3|$ ,  $|v| < |\beta_1|$ . We set  $\beta_2 = u$  and  $\beta_4 = 2v$ . In the case  $(u_r, v_r) = (-1, 1)$ , let  $\beta_3$  be as above. Then there exist integers  $u$  and  $v$  such

that  $u\beta_1 + v\beta_3 = 1$  and  $|u| < |\beta_3|$ ,  $|v| < |\beta_1|$ . We set  $\beta_2 = u$  and  $\beta_4 = v$ . In the case  $(u_r, v_r) = (-1, -1)$ , let  $\beta_3$  be the closest integer to  $\beta_1 x'_3$  that is relatively prime to  $2\beta_1$ . Then there exist integers  $u$  and  $v$  such that  $2u\beta_1 + v\beta_3 = 1$  and  $|u| < |\beta_3|$ ,  $|v| < |2\beta_1|$ . We set  $\beta_2 = 2u$  and  $\beta_4 = v$ . The case  $(u_r, v_r) = (1, 1)$  cannot occur. It follows that  $\beta_4$  is odd (resp. even) if  $u_r = -1$  (resp. 1). In all the cases, we have  $\beta_1\beta_2 + \beta_3\beta_4 = 1$ ,  $\lim_{n \rightarrow \infty} \frac{\beta_3}{\beta_1} = x'_3$ , and  $|\frac{\beta_4}{\beta_1}| < 2$ . We see that  $\frac{\beta_2}{\beta_1}$  are also bounded. We define a new sequence  $P(n) = (p_1(n), p_2(n), p_3(n), p_4(n))$  to be

$$(-\beta_4(n) + 2n\beta_1(n), -\beta_3(n), 2n\beta_3(n) + \beta_2(n), \beta_1(n)).$$

Then we have  $p_1p_2 + p_3p_4 = 1$ ,  $\lim \frac{p_3}{p_1} = x'_3$  and  $\lim \frac{p_4}{p_1} = 0$ . Since  $|\beta_1 - \frac{\tilde{\beta}_1}{2n}| \leq 1$ ,  $\lim \frac{\tilde{\beta}_1}{\alpha_1} = \alpha'$ , and  $\frac{\alpha_1}{n} \rightarrow \infty$ , we have  $\lim \frac{p_1}{\alpha_1} = \alpha'$ . Remark that the parity of  $(p_1, p_2, p_3, p_4)$  corresponds to  $(\beta_4, \beta_3, \beta_2, \beta_1)$ .

We now assume that a new sequence  $\beta(n) = (\beta_1, \beta_2, \beta_3, \beta_4)$  satisfies the conditions (1), (2), (3) and (5) in the statement of Lemma 3 for a positive real number  $\alpha$ , real numbers  $x_3$  and  $x_4$ , and a sequence  $\alpha_1(n)$  with  $\alpha_1(n) \rightarrow \infty$ . Let  $k$  be an arbitrary integer with  $k - x_4 > 0$ . We define a new sequence  $I_k(\beta(n)) = (q_1, q_2, q_3, q_4)$  to be

$$(-\beta_4(n) + k\beta_1(n), -\beta_3(n), k\beta_3(n) + \beta_2(n), \beta_1(n)).$$

Then we see that  $q_1q_2 + q_3q_4 = 1$  and  $\lim \frac{q_3}{q_1} = x_3$ . Hence the properties (1) and (2) are preserved by the transformation  $I_k$ . On the other hand, we see that

$$\lim \frac{q_4}{q_1} = \frac{1}{k - x_4}$$

and

$$\lim \frac{q_1}{\alpha_1} = \alpha(k - x_4) (> 0).$$

We next define a new sequence  $J(\beta(n))$  to be  $(\beta_1, \beta_2, -\beta_3, -\beta_4)$ . Then the properties (1) and (5) are preserved by the transformation  $J$ . But for the properties (2) and (3), the limit values are multiplied by  $(-1)$ .

The sequence  $P(n)$  has the properties (1), (2) (for  $x_3 = x'_3$ ), (3) (for  $x_4 = 0$ ) and (5). In the case  $r \geq 2$ , we can transform  $P(n)$  by  $I_{a_r}$ . Then  $I_{a_r}(P(n))$  has the properties (3) (for  $x_4 = \frac{1}{a_r}$ ) and (5) (for  $\alpha = \alpha'a_r = \frac{\alpha}{b_2 \times \cdots \times b_{r-1}} (> 0)$ ). Next we

can transform  $J \circ I_{a_r}(P(n))$  by  $I_{a_{r-1}}$ . Then  $I_{a_{r-1}} \circ J \circ I_{a_r}(P(n))$  has the properties (3) (for  $x_4 = \frac{1}{a_{r-1} + \frac{1}{a_r}}$ ) and (5) (for  $\alpha = \alpha' a_r(a_{r-1} + \frac{1}{a_r}) = \frac{\alpha}{b_2 \times \dots \times b_{r-2}} (> 0)$ ).

Thus we obtain the sequence  $(\gamma_1, \gamma_2, \gamma_3, \gamma_4) := J \circ I_{a_2} \circ J \circ \dots \circ J \circ I_{a_{r-2}} \circ J \circ I_{a_{r-1}} \circ J \circ I_{a_r}(P(n))$ . In the case  $r = 1$ , we set  $(\gamma_1, \gamma_2, \gamma_3, \gamma_4) = P(n)$ . Then we have (1)  $\gamma_1 \gamma_2 + \gamma_3 \gamma_4 = 1$  (2)  $\lim_{n \rightarrow \infty} \frac{\gamma_3}{\gamma_1} = -x_3$  (3)  $\lim_{n \rightarrow \infty} \frac{\gamma_4}{\gamma_1} = a_1 - x_4$  (5)  $\lim_{n \rightarrow \infty} \frac{\gamma_1}{\alpha_1} = \alpha$ . Finally we set  $(\beta_1, \beta_2, \beta_3, \beta_4) = (\gamma_1, a_1 \gamma_3 + \gamma_2, -\gamma_3, -\gamma_4 + a_1 \gamma_1)$ . Then this sequence satisfies the conditions (1), (2), (3) and (5) of Lemma 3. From the definition of  $(u_r, v_r)$ , we observe that the condition (4) is also satisfied. Thus Lemma 3 is proved in the case  $x_4$  is a rational number. To complete the proof of the lemma, let  $x_4$  be an arbitrary real number. Let  $\{x_4(n)\}$  ( $n = 1, 2, 3, \dots$ ) be a rational number sequence which converges to  $x_4$  satisfying  $|x_4(n) - x_4| < \frac{1}{n}$ . From the results above, there exist sequences  $(\beta_{1n}, \beta_{2n}, \beta_{3n}, \beta_{4n})$  such that (1)  $\beta_{1n} \beta_{2n} + \beta_{3n} \beta_{4n} = 1$  (2)  $\lim_{m \rightarrow \infty} \frac{\beta_{3n}(m)}{\beta_{1n}(m)} = x_3$  (3)  $\lim_{m \rightarrow \infty} \frac{\beta_{4n}(m)}{\beta_{1n}(m)} = x_4(n)$  (4)  $\beta_{1n}$  and  $\beta_{4n}$  are odd. (5)  $\lim_{m \rightarrow \infty} \frac{\beta_{1n}(m)}{\alpha_1(m)} = \alpha$ . Remark that the subsequence  $\alpha_1(m)$  of  $\alpha'_1(m)$  does not depend on  $n$ . We choose a natural number sequence  $m(1) < m(2) < m(3) < \dots$  such that  $|\frac{\beta_{3n}(m(n))}{\beta_{1n}(m(n))} - x_3| < \frac{1}{n}$ ,  $|\frac{\beta_{4n}(m(n))}{\beta_{1n}(m(n))} - x_4(n)| < \frac{1}{n}$  and  $|\frac{\beta_{1n}(m(n))}{\alpha_1(m(n))} - \alpha| < \frac{1}{n}$ . We set  $(\beta_1(n), \beta_2(n), \beta_3(n), \beta_4(n)) = (\beta_1(m(n)), \beta_2(m(n)), \beta_3(m(n)), \beta_4(m(n)))$ . It is sufficient that we define  $\alpha_1(n)$  to be  $\alpha_1(m(n))$  newly. This completes the proof of Lemma 3.

**COROLLARY 4.** For any integer sequence  $\alpha'_1(n)$  with  $\alpha'_1(n) \rightarrow \infty$ , any positive real number  $\alpha$ , any real numbers  $x_3$  and  $x_4$ , there exist a subsequence  $\alpha_1(n)$  of  $\alpha'_1(n)$  and an integer vector sequence  $(\beta_1(n), \beta_2(n), \beta_3(n), \beta_4(n))$  which satisfy the following five conditions.

$$(1) \beta_1 \beta_2 + \beta_3 \beta_4 = 2$$

$$(2) \lim_{n \rightarrow \infty} \frac{\beta_3}{\beta_1} = x_3$$

$$(3) \lim_{n \rightarrow \infty} \frac{\beta_4}{\beta_1} = x_4$$

$$(4) \beta_1 \text{ and } \beta_3 \text{ are relatively prime, and so are } \beta_2 \text{ and } \beta_4.$$

$$(5) \lim_{n \rightarrow \infty} \frac{\beta_1}{\alpha_1} = \alpha$$

PROOF: There exists a sequence  $(\beta_1, \beta_2, \beta_3, \beta_4)$  which satisfies the conditions (1), (3), (4), (5) in Lemma 3 and the condition that  $\lim_{n \rightarrow \infty} \frac{\beta_3}{\beta_1} = \frac{x_3}{2}$ . Then, from (1) and (4),  $\beta_1$  and  $2\beta_3$  are relatively prime, and so are  $2\beta_2$  and  $\beta_4$ . Thus the new sequence  $(\beta_1, 2\beta_2, 2\beta_3, \beta_4)$  is a required one. Q. E. D.

Remark. Lemma 3 is a finer version of [10, Chap.IX, §5, Lemma] for  $\pi = 2$ , and Corollary 4 is for  $\pi = 3$ .

#### 4. The main theorem.

Let  $(X, t)$  be a real K3 surface. We set  $L_\varphi = \text{Ker}(1 + t^*)$ , and  $L^\varphi = \text{Ker}(1 - t^*)$  in  $H^2(X, \mathbb{Z})$ . Remark that  $\text{Fix } \bar{t}^* = ((L^\varphi \otimes \mathbb{R}) \oplus i(L_\varphi \otimes \mathbb{R}))/\mathbb{R}^*$  in  $P(X, \mathbb{C})$ .

PROPOSITION 5. If  $L_\varphi$  has  $U \oplus U$  as its sublattice, then there exists a pair  $\{c_1(n)\}, \{c_2(n)\}$  of sequences which consist of primitive elements of  $U \oplus U$  and satisfy the conditions that  $Q(c_1(n), c_1(n)) = Q(c_2(n), c_2(n)) = 0$ ,  $Q(c_1(n), c_2(n)) = 2$ , the sequence of the subspaces  $L_n := \{\lambda \in P(X, \mathbb{C}) | Q(\lambda, c_1(n)) = Q(\lambda, c_2(n)) = 0\}$  of codimension 2 converges to a subspace  $L := \{\lambda \in P(X, \mathbb{C}) | Q(\lambda, \xi_1) = Q(\lambda, \xi_2) = 0\}$  of codimension 2, where  $\xi_1$  and  $\xi_2$  are elements of  $(U \oplus U) \otimes \mathbb{R}$ , and  $L$  intersects  $K_{20}$  transversely at  $H^{2,0}(X)$  in  $P(X, \mathbb{C})$ .

Hence the sequence of the subspaces  $L_n \cap (\text{Fix } \bar{t}^*)$  of real codimension 2 converges to the subspace  $L \cap (\text{Fix } \bar{t}^*)$  of real codimension 2, and  $L \cap (\text{Fix } \bar{t}^*)$  intersects  $K_{20} \cap (\text{Fix } \bar{t}^*)$  transversely at  $H^{2,0}(X)$  in  $\text{Fix } \bar{t}^*$ .

PROOF: For our sublattice of  $L_\varphi$  which is isomorphic to  $U \oplus U$ , we use the same notation  $U \oplus U$ . Since  $U \oplus U$  is unimodular, we have  $H^2(X, \mathbb{Z}) = (U \oplus U) \oplus (U \oplus U)^\perp$ . Let  $e_1, e_2, e_3, e_4$  form a basis for  $U \oplus U$  and represent the intersection form  $Q$  by the matrix

$$\begin{pmatrix} 0 & 1 & & \\ 1 & 0 & & \\ & & 0 & 1 \\ & & 1 & 0 \end{pmatrix}.$$

We set  $s = \text{rank } L_\varphi$  and let  $e_5, \dots, e_s$  form a basis for  $L_\varphi \cap (U \oplus U)^\perp$ . Then  $e_1, \dots, e_s$  form a basis for  $L_\varphi$ . Remark that  $(L_\varphi \otimes \mathbb{Q}) \oplus (L^\varphi \otimes \mathbb{Q}) = H^2(X, \mathbb{Q})$ ,  $L_\varphi = (L^\varphi)^\perp$  and  $L^\varphi = (L_\varphi)^\perp$  in  $H^2(X, \mathbb{Z})$ . Let  $e_{s+1}, \dots, e_{22}$  form a basis for  $L^\varphi$ . Then  $e_1, \dots, e_{22}$  form a basis for  $H^2(X, \mathbb{Q})$ . Since  $H^{2,0}(X) = \overline{t^*}(H^{2,0}(X))$ , we can take  $\omega_X$  so that  $\omega_X = \overline{t^*}\omega_X$ . Then we have  $\omega_X = (\sum_{j=s+1}^{22} \lambda_j e_j) + i(\sum_{j=1}^s \lambda_j e_j)$  for some real numbers  $\lambda_j$  ( $1 \leq j \leq 22$ ). We set  $\omega_+ = \sum_{j=s+1}^{22} \lambda_j e_j$  and  $\omega_- = \sum_{j=1}^s \lambda_j e_j$ . Since  $\omega_X \wedge \omega_X = 0$  and  $\omega_X \wedge \overline{\omega_X} > 0$  (recall §1), we have  $\omega_+^2 = \omega_-^2 > 0$ . Moreover, we set  $\omega'_- = \sum_{j=5}^s \lambda_j e_j$ . Then  $\omega_-^2 = 2(\lambda_1 \lambda_2 + \lambda_3 \lambda_4) + \omega_-'^2$ . Remark that  $\omega_+ \in L^\varphi \otimes \mathbb{R}$ ,  $U \oplus U \subset L_\varphi$ , where  $\text{sign}(U \oplus U) = (2, 2)$ , and  $\omega'_- \in (L_\varphi \cap (U \oplus U)^\perp) \otimes \mathbb{R}$ . Since  $\text{sign}(H^2(X, \mathbb{Z}), Q) = (3, 19)$ , we have  $\omega_-'^2 \leq 0$ . Therefore we obtain  $\lambda_1 \lambda_2 + \lambda_3 \lambda_4 > 0$ .

We may assume that  $\lambda_4 \neq 0$  replacing  $(e_1, e_2, e_3, e_4)$  by  $(e_3, e_4, e_1, e_2)$  if necessary. We set

$$x_3 = \frac{\lambda_1}{\lambda_4}, \quad x_4 = \lambda_1 x_3 + \lambda_4, \quad y_4 = (1 + x_3^2)(\lambda_2 x_3 + \lambda_3),$$

$$\xi_1 = e_2 - x_3 e_3, \quad \xi_2 = x_3 x_4 (1 + x_3^2) e_1 - x_3 y_4 e_2 - y_4 e_3 + x_4 (1 + x_3^2) e_4.$$

We define  $L = \{\lambda \in P(X, \mathbb{C}) \mid Q(\lambda, \xi_1) = Q(\lambda, \xi_2) = 0\}$ . The subspace  $L$  meets  $H^{2,0}(X)$  because  $Q(\omega_X, \xi_1) = i(\lambda_1 - \frac{\lambda_1}{\lambda_4} \lambda_4) = 0$  and  $Q(\omega_X, \xi_2) = i(x_3 x_4 (1 + x_3^2) \lambda_2 - x_3 y_4 \lambda_1 - y_4 \lambda_4 + x_4 (1 + x_3^2) \lambda_3) = i((1 + x_3^2)(\lambda_2 x_3 + \lambda_3) x_4 + (-\lambda_1 x_3 - \lambda_4) y_4) = i(y_4 x_4 - x_4 y_4) = 0$ . We show that  $L$  intersects  $K_{20}$  at  $H^{2,0}(X)$  transversely. We identify  $P(X, \mathbb{C})$  with  $P^{21} = \{[X_1 : \dots : X_{22}]\}$  taking a basis  $ie_1, \dots, ie_s, e_{s+1}, \dots, e_{22}$ . Then  $K_{20}$  is identified with the subset defined by an integral homogeneous polynomial of degree 2 of the form  $f(X_1, \dots, X_{22}) = -2(X_1 X_2 + X_3 X_4) + f_1(X_5, \dots, X_{22})$ . Hence the tangent space of  $K_{20}$  at  $H^{2,0}(X)$  is identified with the subspace defined by a real linear form of the form  $h(X_1, \dots, X_{22}) = \lambda_2 X_1 + \lambda_1 X_2 + \lambda_4 X_3 + \lambda_3 X_4 + h_1(X_5, \dots, X_{22})$ . Let  $H$  denote this space.  $L$  intersects  $H$  transversely at  $H^{2,0}(X)$  in  $P^{21}$ . If not, then  $H$  contains  $L$ . In particular,  $(H \cap \mathbb{R}P^3 \times \{0\}) \supset (L \cap \mathbb{R}P^3 \times \{0\})$ , where

$$H \cap \mathbb{R}P^3 \times \{0\} = \{\lambda_2 X_1 + \lambda_1 X_2 + \lambda_4 X_3 + \lambda_3 X_4 = 0\} \times \{0\}$$

and

$$L \cap \mathbf{RP}^3 \times \{0\} \\ = \{X_1 - x_3 X_4 = -x_3 y_4 X_1 + x_3 x_4 (1 + x_3^2) X_2 + x_4 (1 + x_3^2) X_3 - y_4 X_4 = 0\} \times \{0\}.$$

But the following matrix is of rank 3.

$$\begin{pmatrix} \lambda_2 & 1 & -x_3 y_4 \\ \lambda_1 & 0 & x_3 x_4 (1 + x_3^2) \\ \lambda_4 & 0 & x_4 (1 + x_3^2) \\ \lambda_3 & -x_3 & -y_4 \end{pmatrix}$$

In fact, the determinant of the following matrix is equal to  $\frac{2(\lambda_1^2 + \lambda_4^2)^2(\lambda_1 \lambda_2 + \lambda_3 \lambda_4) \lambda_1}{\lambda_4^5}$ .

$$\begin{pmatrix} \lambda_2 & 1 & -x_3 y_4 \\ \lambda_1 & 0 & x_3 x_4 (1 + x_3^2) \\ \lambda_3 & -x_3 & -y_4 \end{pmatrix}$$

Hence, the above matrix is of rank 3 if  $\lambda_1 \neq 0$ . And if  $\lambda_1 = 0$ , then the above matrix is as follows.

$$\begin{pmatrix} \lambda_2 & 1 & 0 \\ 0 & 0 & 0 \\ \lambda_4 & 0 & \lambda_4 \\ \lambda_3 & 0 & -\lambda_3 \end{pmatrix}$$

This matrix is of rank 3 if  $\lambda_1 = 0$ . Thus we have a contradiction. Therefore  $L$  intersects  $K_{20}$  at  $H^{2,0}(X)$  transversely.

We now show that there exists a pair  $\{c_1(n)\}, \{c_2(n)\}$  of sequences for which the sequence  $\{\lambda \in P(X, \mathbf{C}) | Q(\lambda, c_1(n)) = Q(\lambda, c_2(n)) = 0\}$  converges to the above  $L$  and the properties in the statement of Proposition 5 hold. By Corollary 4 in §3, there exists an integer vector sequence  $(\alpha_{13}, \beta_{24}, -\alpha_{24}, \beta_{13})$  such that

- (1)  $\alpha_{13} \beta_{24} - \alpha_{24} \beta_{13} = 2$ ,
- (2)  $\lim \frac{-\alpha_{24}}{\alpha_{13}} = x_3$ ,
- (3)  $\lim \frac{\beta_{13}}{\alpha_{13}} = x_4$ ,
- (4)  $\alpha_{13}$  and  $-\alpha_{24}$  are relatively prime, and so are  $\beta_{24}$  and  $\beta_{13}$ , and



$$(5) \alpha_{13} \rightarrow \infty.$$

By Lemma 3, replacing the above sequence by an appropriate subsequence if necessary, we can find another integer vector sequence  $(\alpha_{14}, \beta_{23}, -\alpha_{23}, \beta_{14})$  such that

$$(1') \alpha_{14}\beta_{23} - \alpha_{23}\beta_{14} = 1,$$

$$(2') \lim_{\alpha_{14}} \frac{-\alpha_{23}}{\alpha_{14}} = 0,$$

$$(3') \lim_{\alpha_{14}} \frac{\beta_{14}}{\alpha_{14}} = y_4, \text{ and}$$

$$(4') \lim_{\alpha_{13}} \frac{\alpha_{14}}{\alpha_{13}} = \frac{1}{\sqrt{2}}.$$

We set

$$\alpha_1 = \alpha_{13}\alpha_{14}, \quad \alpha_2 = \alpha_{23}\alpha_{24}, \quad \alpha_3 = -\alpha_{13}\alpha_{23}, \quad \alpha_4 = \alpha_{14}\alpha_{24},$$

$$\beta_1 = \beta_{13}\beta_{14}, \quad \beta_2 = \beta_{23}\beta_{24}, \quad \beta_3 = -\beta_{13}\beta_{23}, \quad \beta_4 = \beta_{14}\beta_{24}.$$

Then we have

$$\alpha_1\alpha_2 + \alpha_3\alpha_4 = \beta_1\beta_2 + \beta_3\beta_4 = 0$$

and

$$\alpha_1\beta_2 + \alpha_2\beta_1 + \alpha_3\beta_4 + \alpha_4\beta_3 = (\alpha_{13}\beta_{24} - \alpha_{24}\beta_{13})(\alpha_{14}\beta_{23} - \alpha_{23}\beta_{14}) = 2.$$

From (4) and (1') above, we see that  $\alpha_1, \alpha_2, \alpha_3$  and  $\alpha_4$  are relatively prime. So are  $\beta_1, \beta_2, \beta_3$  and  $\beta_4$ . Hence, if we set  $c_1 = \alpha_1 e_2 + \alpha_2 e_1 + \alpha_3 e_4 + \alpha_4 e_3$  and  $c_2 = \beta_1 e_2 + \beta_2 e_1 + \beta_3 e_4 + \beta_4 e_3$ , then  $Q(c_1(n), c_1(n)) = Q(c_2(n), c_2(n)) = 0$ ,  $Q(c_1(n), c_2(n)) = 2$ , and moreover,  $c_1(n)$  and  $c_2(n)$  are primitive elements in  $U \oplus U$  (hence in  $H^2(X, \mathbf{Z})$ ).

Finally we show that the sequence  $L_n = \{Q(\lambda, c_1(n)) = Q(\lambda, c_2(n)) = 0\}$  converges to  $L$ . We first observe that

$$\lim_{\alpha_1} \frac{\alpha_2}{\alpha_1} = \lim_{\alpha_{13}} \frac{\alpha_{24}}{\alpha_{13}} \lim_{\alpha_{14}} \frac{\alpha_{23}}{\alpha_{14}} = (-x_3) \cdot 0 = 0,$$

$$\lim_{\alpha_1} \frac{\alpha_3}{\alpha_1} = \lim_{\alpha_{13}} \frac{-\alpha_{23}}{\alpha_{13}} = 0,$$

$$\lim_{\alpha_1} \frac{\alpha_4}{\alpha_1} = \lim_{\alpha_{13}} \frac{\alpha_{24}}{\alpha_{13}} = -x_3,$$

$$\lim_{\beta_1} \frac{\beta_2}{\beta_1} = \lim_{\beta_{13}} \frac{\beta_{24}}{\beta_{13}} \lim_{\beta_{14}} \frac{\beta_{23}}{\beta_{14}} = (-x_3) \cdot 0 = 0,$$

$$\lim_{\beta_1} \frac{\beta_3}{\beta_1} = \lim_{\beta_{13}} \frac{-\beta_{23}}{\beta_{13}} = 0,$$

and

$$\lim \frac{\beta_4}{\beta_1} = \lim \frac{\beta_{24}}{\beta_{13}} = -x_3.$$

Hence both  $[\alpha_1 : \alpha_2 : \alpha_3 : \alpha_4]$  and  $[\beta_1 : \beta_2 : \beta_3 : \beta_4]$  converge to  $[1 : 0 : 0 : -x_3]$ . Thus both  $\{Q(\lambda, c_1(n)) = 0\}$  and  $\{Q(\lambda, c_2(n)) = 0\}$  converge to  $\{Q(\lambda, \xi_1) = 0\}$ . In order to know the limit subspace of  $\{L_n\}$ , we set

$$B_j = \left( \sum_{i=1}^4 \alpha_i^2 \right) \beta_j - \left( \sum_{i=1}^4 \alpha_i \beta_i \right) \alpha_j \quad (j = 1, 2, 3, 4).$$

Remark that  $(B_1, B_2, B_3, B_4)$  are orthogonal to  $(\alpha_1, \alpha_2, \alpha_3, \alpha_4)$  in  $\mathbb{R}^4$  with respect to the Euclidean inner product. We set

$$\tilde{c}_2 = B_1 e_2 + B_2 e_1 + B_3 e_4 + B_4 e_3.$$

Then we see  $L_n = \{Q(\lambda, c_1(n)) = Q(\lambda, \tilde{c}_2(n)) = 0\}$ . We now consider the limit hyperplane of the sequence  $\{Q(\lambda, \tilde{c}_2(n)) = 0\}$ . Since

$$B_1 = \alpha_2(-2\alpha_{23}\beta_{14} - \alpha_{13}\beta_{24}) + \alpha_3\alpha_{13}\beta_{13} - 2\alpha_4\alpha_{14}\beta_{14},$$

$$B_2 = \alpha_1(2\alpha_{23}\beta_{14} + \alpha_{13}\beta_{24}) - 2\alpha_3\alpha_{23}\beta_{23} + \alpha_4\alpha_{24}\beta_{24},$$

$$B_3 = \alpha_4(2\alpha_{14}\beta_{23} - \alpha_{13}\beta_{24}) - \alpha_1\alpha_{13}\beta_{13} - 2\alpha_2\alpha_{23}\beta_{23}$$

and

$$B_4 = \alpha_3(-2\alpha_{14}\beta_{23} + \alpha_{13}\beta_{24}) + 2\alpha_1\alpha_{14}\beta_{14} - \alpha_2\alpha_{24}\beta_{24};$$

we have

$$\lim \frac{B_1}{\alpha_1^2} = \sqrt{2}x_3y_4,$$

$$\lim \frac{B_2}{\alpha_1^2} = -\sqrt{2}x_3x_4(1 + x_3^2),$$

$$\lim \frac{B_3}{\alpha_1^2} = -\sqrt{2}x_4(1 + x_3^2)$$

and

$$\lim \frac{B_4}{\alpha_1^2} = \sqrt{2}y_4.$$

Hence

$[B_1 : B_2 : B_3 : B_4]$  converges to  $[-x_3y_4 : x_3x_4(1 + x_3^2) : x_4(1 + x_3^2) : -y_4]$ . Namely,  $\{Q(\lambda, \tilde{c}_2(n)) = 0\}$  converges to  $\{Q(\lambda, \xi_2) = 0\}$ . Therefore  $L_n$  converges to  $L$ . With respect to the identification  $P(X, \mathbb{C}) \simeq P^{21}$  stated above,  $\mathbb{R}P^{21}$  corresponds to  $\text{Fix } \bar{t}^* = (i(L_\varphi \otimes \mathbb{R}) \oplus (L^\varphi \otimes \mathbb{R}))/\mathbb{R}^*$ . Hence the latter assertion of the proposition follows. Q. E. D.

We next consider a family  $(V, M, \pi)$  of complex structures of  $X$  with antiholomorphic involutions  $t_V$  and  $t_M$ , and the period mapping  $\tau : M \rightarrow P(X, \mathbb{C})$  as stated in Kharlamov's lemma (recall §1).

**THEOREM 6.** *Let  $(X, t)$  be a real K3 surface. If  $L_\varphi$  has  $U \oplus U$  as its sublattice, then there exist points  $\alpha$  in  $\text{Fix } t_M$  for which real K3 surfaces  $(V(\alpha), t_\alpha)$  can be 2-sheeted coverings of  $P^1 \times P^1$  (Let  $\Phi_\alpha$  denote the covering maps.) branched along nonsingular curves defined by real homogeneous polynomials of degree (4,4) and satisfy  $\text{conj} \circ \Phi_\alpha = \Phi_\alpha \circ t_\alpha$  arbitrarily closely to  $m$ .*

**PROOF:** We set  $(U \oplus U)_\alpha = i_\alpha^* \circ i_m^{*-1}(U \oplus U)$  for any  $\alpha$  in  $M$ . The isomorphisms  $i_\alpha^* \circ i_m^{*-1} : H^2(X, \mathbb{Z}) \rightarrow H^2(V(\alpha), \mathbb{Z})$  preserve the intersection forms. Let  $Q_\alpha$  denote the intersection form on  $V(\alpha)$ . Recall that we set  $t_\alpha = t_V|_{V(\alpha)}$  for every  $\alpha$  in  $\text{Fix } t_M$ . We set  $L_\alpha = \text{Ker}(1 + t_\alpha^*)$  in  $H^2(V(\alpha), \mathbb{Z})$ . Since  $L_\alpha = i_\alpha^* \circ i_m^{*-1}(L_\varphi)$ , we have  $(U \oplus U)_\alpha \subset L_\alpha$ . Let  $\{L_n\}$  be a sequence obtained by Proposition 5. Then for a sufficiently large natural number  $N$ ,  $L_n \cap \mathbb{R}P^{21}$  intersects  $\tau(\text{Fix } t_M) = K_{20} \cap \mathbb{R}P^{21}$  transversely at  $H^{2,0}(X)$  in  $\mathbb{R}P^{21} = (i(L_\varphi \otimes \mathbb{R}) \oplus (L^\varphi \otimes \mathbb{R}))/\mathbb{R}^*$  (recall the proof of Proposition 5) for any  $n \geq N$ . Hence  $L_n \cap \tau(\text{Fix } t_M)$  is nonempty and real 18 dimensional. We set

$$\hat{E} = \{\tau(\alpha) \in \tau(M) | \text{rank Pic } V(\alpha) \geq 3\}.$$

From the results in [10, Chap.IX, §4, p.215],  $\text{rank Pic } V(\alpha) \geq 3$  if and only if  $Q(\tau(\alpha), c_j^\alpha) = 0$  for elements  $c_j^\alpha$  ( $j = 1, 2, 3$ ) in  $H^2(X, \mathbb{Z})$  which are linearly independent over  $\mathbb{C}$  (hence, over  $\mathbb{R}$ ). Hence  $L_n \cap \tau(\text{Fix } t_M) \cap \hat{E}$  can be covered by countably many real 17 dimensional submanifolds. Hence  $(L_n \cap \tau(\text{Fix } t_M)) \setminus \hat{E}$  is dense in  $L_n \cap \tau(\text{Fix } t_M)$ , and for every  $\tau(\alpha) \in (L_n \cap \tau(\text{Fix } t_M)) \setminus \hat{E}$ , we have  $\alpha \in \text{Fix } t_M$  and  $\text{rank Pic } V(\alpha) = 2$ . We set  $c_{j\alpha}(n) = i_\alpha^* \circ i_m^{*-1}(c_j(n))$  for  $j (= 1, 2)$ . Then  $Q_\alpha(c_{1\alpha}, c_{1\alpha}) = Q_\alpha(c_{2\alpha}, c_{2\alpha}) = 0$  and  $Q_\alpha(c_{1\alpha}, c_{2\alpha}) = 2$ . Since  $Q(i_m^* \circ i_\alpha^{*-1}(H^{2,0}(V(\alpha))), c_j) = 0$ , we have  $Q_\alpha(H^{2,0}(V(\alpha)), c_{j\alpha}) = 0$ , that is,  $c_{j\alpha} \in \text{Pic } V(\alpha) = (H^{2,0}(V(\alpha)))^\perp \cap H^2(V(\alpha), \mathbb{Z})$ . We see that  $c_{1\alpha}$  and  $c_{2\alpha}$  are primitive elements in  $(U \oplus U)_\alpha$ , hence in  $H^2(V(\alpha), \mathbb{Z})$ . Recall that  $(U \oplus U)_\alpha \subset L_\alpha = \text{Ker}(1 + t_\alpha^*)$ . Hence  $(V(\alpha), t_\alpha)$  satisfies the conditions of Lemma 2. Since  $(L_n \cap \tau(\text{Fix } t_M)) \setminus \hat{E}$  is dense in

$L_n \cap \tau(\text{Fix } t_M)$  and  $n (\geq N)$  is an arbitrary number, we can choose such  $\alpha \in \text{Fix } t_M$  arbitrarily closely to  $m$ . This completes the proof of Theorem 6.

**COROLLARY 7.** *Let  $(X, t)$  be a real K3 surface. If  $L_\varphi$  has  $U \oplus U$  as its sublattice, then there exists a 2-sheeted covering  $\Phi : Y \rightarrow P^1 \times P^1$  branched along a nonsingular real curve of degree  $(4, 4)$  and an antiholomorphic involution  $T$  on  $Y$  such that  $\text{conj} \circ \Phi = \Phi \circ T$  and  $\text{Fix } T$  is diffeomorphic to  $\text{Fix } t$ .*

**PROOF:** We can consider the restriction  $\mathbf{R}\pi : \text{Fix } t_V \rightarrow \text{Fix } t_M$  of the family  $(V, M, \pi)$ . Although  $\text{Fix } t_M$  is possibly disconnected, we may consider that  $\alpha$  of Theorem 6 and  $m$  are contained in the same connected component of  $\text{Fix } t_M$ . Since  $\mathbf{R}\pi$  is a proper submersion onto  $\text{Fix } t_M$ ,  $\mathbf{R}\pi^{-1}(\alpha)$  is diffeomorphic to  $\mathbf{R}\pi^{-1}(m)$ , where  $\mathbf{R}\pi^{-1}(\alpha) = \text{Fix } t_\alpha$  and  $\mathbf{R}\pi^{-1}(m) = \text{Fix } t$ . It is sufficient to set  $Y = V(\alpha)$  and  $T = t_\alpha$ . Q. E. D.

**COROLLARY 8.** *Three possible configuration types  $\frac{1}{1}8$ ,  $\frac{5}{1}4$  and  $\frac{9}{1}$  are all realized by some real curves of degree  $(4, 4)$ .*

**PROOF:** As stated in §0, there exist real projective K3 surfaces  $(X, t)$  with  $h^2 = 4$  ( $h$  : primitive) whose real parts are homeomorphic to  $\Sigma_{10} \amalg S^2$ ,  $\Sigma_8 \amalg 5S^2$  and  $\Sigma_2 \amalg 9S^2$  respectively. Moreover, for such real K3 surfaces,  $L_\varphi$  are isomorphic to  $U \oplus U \oplus (-E_8) \oplus (-E_8)$ ,  $U \oplus U \oplus (-E_8)$  and  $U \oplus U$  respectively (see [8]). Hence  $L_\varphi$  have  $U \oplus U$  as sublattices. By Corollary 7 and [5, §3] (recall §0), we obtain our required results. Q. E. D.

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